

**PROPOSED
ALABAMA DRYCLEANING ENVIRONMENTAL RESPONSE TRUST
ADVISORY BOARD**

**APPROVED DRYCLEANER ENVIRONMENTAL
CONSULTANT (ADEC) APPLICATION**

SECTION 1. APPLICANT INFORMATION

Company or Organization Name_____

Mailing Address_____

City_____ State_____ Zip _____

Contact Person_____ Telephone # () _____

Alternate Contact Person_____ Fax # () _____

E-Mail Address of Contact_____

SINGLE-OFFICE OR MULTIPLE-OFFICE APPLICATIONS

A company with more than one office location may either submit one combined ADEC application for all office locations or the company may submit a separate ADEC application for each location. Should one office location be disqualified under this program, any other offices that were included in a multiple-office ADEC application package under one registration application would then be disqualified from the ADEC program.

How many office locations are included in this application? _____

Provide the street address for each office location included in this application. Also provide the desired contact names and telephone numbers for each office location. This data will be used in preparing the ADEC published list(s).

SECTION 2. WORK CATEGORIES

A company may apply for approval to perform work in any or all of the three ADEC categories listed below:

- | | |
|--------------|---|
| Category I | Companies approved to perform initial investigation work (records search, receptor survey, characterization of the surrounding property, groundwater and soil sampling, hydrology of site). |
| Category II | Companies approved to perform property assessments (identify remediation technology, type, and amount of contamination; delineation of contamination; and risk assessments). |
| Category III | Companies approved to perform remediation work (installation of any remediation systems per approved plans, removing/treating contamination, disposal of contaminated waste, management of any hazardous materials/contaminated equipment). |

Check all ADEC categories for which the company is seeking approval with this application:

_____ Category I _____ Category II _____ Category III

SECTION 3. APPLICANT REGISTRATION

Is firm registered with the Alabama Secretary of State's Office and the Alabama Department of Revenue Franchise Tax Division? (Each consultant should contact these two agencies to determine if their firm will be required to be registered with these agencies.)

Yes _____ No _____

SECTION 4. ORGANIZATION

Attach this material in a labeled and organized format; the submittal shall include:

- A. Statement of company organizational history, including but not necessarily limited to years in business; location of offices; form of business; list of officers and principals of the company, including their mailing address and telephone numbers.

- B. Company's latest annual financial statement or other alternate proof of financial stability.
- C. A detailed organizational chart showing only the employee names and titles who will perform work under the Alabama Drycleaning Environmental Response Trust Fund (DERTF) and a description of the project organization relating to staff who will perform work under the DERTF. The organizational chart should state which staff will perform which services and include each person's job title. It should also note the location of all staff.
- D. The company should discuss which services (analytical services, groundwater services, drilling services, etc.) will be subcontracted. List firm name and address for all subcontractors that you expect to use if subcontracted personnel are being used to satisfy Sections 5 and 6 of this application.
- E. Provide a letter from an insurance company or companies approved to do business in the State of Alabama which states that, within 30 days of notification that the company has been approved for addition to the ADEC list, the company can have in effect insurance as required in Rule 287-1-1-.05(15) with the Board listed as a certificate holder on the policy(ies).
- F. A licensed consultant that is applying to be in the remediation category shall attach a copy of the certificate documenting that the company has a valid Alabama Contractor's License with a Specialty Classification to perform remediation of hazardous substance or hazardous waste sites or the equivalent with a monetary limitation of not less than five hundred thousand dollars (\$500,000).
- G. The company should discuss the following:
 - 1. Cost control;
 - 2. Schedule control;
 - 3. Project tracking; and
 - 4. Data management.

SECTION 5. BACKGROUND OF PERSONNEL WHO WILL PERFORM THE WORK

Attach a resume for each person listed on the organizational chart. Organize resumes in sections by office so that it is clear which personnel work from which office. If a subcontractor will be used to satisfy the professional engineer or professional geologist requirements, the subcontractor should also apply to become an ADEC or the subcontractor personnel who will work under the Fund should be included in this section. Resumes shall, at a minimum, include the following information:

- A. Description of the education of the person including the school and year graduated, degree and major area of study, and specialized training including, but not limited to, health and safety training, risk assessments, etc.
- B. The current position, title, years of applicable experience, and licenses and registration that the person holds. If a person is listed on the organizational chart as a Professional Engineer, then that person must have an Alabama Professional Engineer License number listed on the resume. Any Professional Geologist listed on the organizational chart must have an Alabama Geologist Registration number on the resume.
- C. A list of the sites on which the employee worked; where the employee either performed initial investigation, property assessment, or remediation activities related to contamination resulting from the release of dense non-aqueous liquids excluding polychlorinated biphenyls (PCBs). Describe the activities and duties performed by the employee.

**SECTION 6. PAST PERFORMANCE OF INVESTIGATIVE, ASSESSMENT
AND REMEDIATION SERVICES AT DRYCLEANING SITES OR
SITES WITH SIMILAR ORGANIC SOIL AND GROUNDWATER
CONTAMINATION**

- A. If the company desires to be approved to perform initial investigations at sites in the DERTF, provide descriptions of a minimum of three (3) different investigations of contamination resulting from the release of dense non-aqueous liquids excluding PCBs in soil and/or groundwater which company staff (who will perform work) under the DERTF have performed in the past five (5) years.
- B. If the company desires to be approved to perform property assessments of sites in the DERTF, provide descriptions of a minimum of three (3) different sites where company staff (who will perform work under the DERTF) have performed property assessments of contamination resulting from the release of dense non-aqueous liquids excluding PCBs during the past five (5) years.
- C. If the company desires to be approved to perform remediation at sites in the DERTF, provide descriptions of a minimum of three (3) different sites where company staff (who will perform work under the DERTF) have performed remediation of contamination resulting from the release of dense non-aqueous liquids excluding PCBs in soil and/or groundwater in the past five (5) years.
- D. If a company desires to be approved for a combination of initial investigation, property assessments, and/or remediation, then submit a minimum of three (3)

sites for each category for which the company is applying in compliance with the requirements set forth in the subparagraphs A. through C. above.

- E. In the descriptions required by A. through D. above, state the duties performed; type of facility investigated or contaminants investigated, assessed, or remediated; results of the investigation, assessment, or remediation; and other pertinent information which would show the company's competency in initial investigation, assessment, and/or remediation of contamination resulting from the release of dense non-aqueous liquids excluding PCBs (be specific). Only include sites worked by personnel who will work on DERTF sites. Indicate the personnel who performed the initial investigation, property assessment, or remediation and describe their job duties. Limit the discussion to two (2) typed pages per site per category.
- F. Use ADERTAB Form 200-A to summarize the descriptions required in A. through E. above. Both the written description and summary form should be included in the application.
- G. Attach letters of recommendation from clients for two (2) sites described above discussing the company's initial investigation activities at the site and the clients' opinions of the quality of work performed by the company's personnel if the company is applying to be approved for drycleaner initial investigation activities. Attach letters of recommendation from clients for two (2) sites above discussing the company's property assessment activities at the site and the clients' opinions of the quality of work performed by the company's personnel if the company is applying to be approved for property assessments. Attach letters of recommendation from clients for two (2) sites described above discussing the company's remediation activities at the site and the clients' opinions of the quality of work performed by the company's personnel if the company is applying to be approved for remediation activities.
- H. If the company, its officers, its principals, or any of the employees referenced in this application have previously been removed from the ADEC list or have been the subject of any professional license revocation or suspension proceeding, or have been assessed a civil penalty for violation of any environmental law in Alabama or comparable law in another jurisdiction, describe the circumstances, including the reason(s) for such action and the response action(s) taken by the company to assure there will not be similar problems in the future.
- I. If a subcontractor will be used to demonstrate experience under this section, the subcontractor should register as a ADEC or the subcontractor personnel who will work under the fund should be included this section,

SECTION 7. ACKNOWLEDGEMENT OF RULE REQUIREMENTS

The application shall contain a statement which includes the following provisions:

1. The ADEC acknowledges the requirements of Rule 287-1-1-.05(2), Rule 287-1-1-.05(3), and Rule 287-1-1-.05(7) through Rule 287-1-1-.05(16) and agrees to comply with the above rules.
2. The ADEC will notify the Board within 30 days of becoming aware that the ADEC, its principals, officers, or employees become subject to Rule 287-1-1-.05(18).

SECTION 8. CERTIFICATION STATEMENTS

In accordance with Rule 287-1-1-.05(1)(d), 287-1-1-.05(1)(e), and 287-1-1-.05(21), the application shall include a notarized statement, sworn by an executive officer or principal of the company which includes the following provisions:

- A. I certify to the best of my knowledge and belief that neither the company nor any of the company's officers, principals, and employees have been convicted of, pleaded guilty to, or pleaded nolo contendere to violating any of the following or comparable environmental law in another jurisdiction:
 1. Illegal disposal of hazardous waste, Ala. Code § 22-30-19 (1975).
 2. Violations of the Alabama Solid Waste Disposal Act, Code of Alabama 1975, § 22-27-1 et seq.
 3. Violations of the Alabama Air Pollution Control Act, Code of Alabama 1975, § 22-28-22.
 4. Violations of the Alabama Water Pollution Control Act, Code of Alabama 1975, § 22-22-14.
 5. Knowingly provides false information in any written record or report required by law to be submitted to any governmental agency, Code of Alabama 1975, § 13A-10-109.
- B. I certify to the best of my knowledge and belief that neither the company nor any of the company's officers, principles, and employees have been convicted of, pleaded guilty to, or pleaded nolo contendere to any of the following or a comparable law in another jurisdiction:

1. Tampering with governmental records, Code of Alabama 1975, § 13A-10-12.
 2. Any offense relating to judicial or other proceedings, Code of Alabama 1975, § 13A-10-120 et seq.
 3. Perjury and related offenses, Code of Alabama 1975, § 13A-10-100 et seq.
 4. Forgery and related offenses, Code of Alabama 1975, § 13A-9-1 et seq.
 5. Any offense involving theft, Code of Alabama 1975, § 13A-8-1 et seq.
- C. I certify under penalty of law, including but not limited to penalties for perjury, that the information contained in this application and on any attachments, is true, accurate, and complete to the best of my knowledge, information, and belief. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for intentional violation.

Printed Name

Official Title

Signature

Date

State of _____

County of _____

Before me personally appeared _____ whose signature appears above and, upon oath properly administered, swore before me that the information contained in this application is true, accurate, and complete to the best of his/her knowledge, information, and belief. Witness my hand and official seal this _____ day of _____ 20__.

Notary Public _____

Commission Expires _____